Potential Conflict of Interest Disclosure Statement

This form is for Missouri S&T employees to make a full disclosure of potential conflicts of interest resulting from direct or indirect outside business, financial, employment, or consulting interests which are related to their University employment. It is each employee's responsibility to provide this information to the appropriate University official for filing in a registry, if appropriate, located for public scrutiny in accordance with UM CRR 330.015.

Please complete the following questionnaire and return it to the Missouri S&T COI Officer. If you have any questions, please feel free to contact the Missouri S&T Conflict of Interest Committee liaison, for assistance.

Name: ________________________________ Employee ID: ______________

Department/Division: ________________________________

Campus Address: ________________________________ Campus phone: ______________

University title: ________________________________ Percent FTE: ______________

Business Name: ________________________________

Do you have Research involved with any of the following:

Human Subjects: yes / no   Animals: yes / no

Please fully describe the business, financial interest, outside employment, or consulting activities that are raising a potential conflict of interest. Include names, addresses, nature of interest, your role at the University and how it relates to the outside interest, and any other relevant information. Feel free to use additional sheets if necessary or attach additional documentation.

Nature of your Interest
(check all that apply)

☐ Equity interest
☐ Consulting/Employment
☐ Director/Officer/Partner/Agent/Manager/Advisor/Board Member position
☐ Receipt of Loan/Gift
☐ Receipt of Honoraria
☐ Receipt of Royalty Revenue
☐ Research Support
☐ Patent Holder
☐ License Holder
☐ Other (describe in box below)
Relationships with Sponsors/Business Entities

Do you have financial interests in the outside entity/activity disclosed that supports any of your research or training activities in any way?

☐ YES  ☐ NO

Do you conduct outside activities (employment, consulting, management and other contractual activities) with the outside entity/activity disclosed that supports any of your research or training activities in any way?

☐ YES  ☐ NO

Please briefly describe the outside activity, estimate the hours per week to be spent and total number of weeks.

Do you receive compensation for teaching/speaking at educational programs sponsored by the outside entity/activity disclosed?

☐ YES  ☐ NO

Do you receive travel and personal expense support for teaching/speaking at educational programs sponsored by the outside entity/activity disclosed?

☐ YES  ☐ NO

Do you receive compensation for consultation services from the outside entity/activity disclosed?

☐ YES  ☐ NO

Does the outside entity/activity disclosed hold a license agreement(s) with the University relating to an invention or software where you are an inventor or author?

☐ YES  ☐ NO

If this disclosure is related to a new research project, will the outside entity/activity disclosed hold any rights to research and/or inventions for discoveries associated with this project?

☐ YES  ☐ NO

Is the outside entity/activity disclosed imposing restrictions on the disseminations of research results?

☐ YES  ☐ NO

If yes, please describe the restrictions.

Are you engaged in commercial activities with the outside entity/activity disclosed that are related to your University responsibilities (i.e. vendor selection, purchasing, sponsored projects, teaching, etc)?

☐ YES  ☐ NO

Are you requesting or does your activity require or involve the use of a sole-source vendor agreement for the outside entity/activity disclosed?

☐ YES  ☐ NO
Do you supervise, select or evaluate services provided to the University by the outside entity/activity disclosed?
☐ YES  ☐ NO

Do you refer University business to the outside entity/activity disclosed?
☐ YES  ☐ NO

Do any of your University activities sponsored by the outside entity/activity disclosed involve technology developed at the University and which is now owned by or contractually obligated to the outside entity/activity disclosed?
☐ YES  ☐ NO

Do you agree to disclose your interest in the outside entity/activity disclosed in any publications, presentations and papers you submit regarding the research, and abide by all submission requirements from journals and organizations?
☐ YES  ☐ NO

Are/will any University employees and/or students who you directly or indirectly supervise be involved in any activity sponsored by the outside entity/activity disclosed?
☐ YES  ☐ NO

**Please explain the use of University employees/students in activity.**

When acting on behalf of the outside entity/activity disclosed, do you employ or supervise any students or University personnel?
☐ YES  ☐ NO

Is your relationship with the outside entity/activity disclosed the type of activity that could cause an independent observer to be concerned and/or call into question the integrity of you and/or the University?
☐ YES  ☐ NO

*I attest to the accuracy of these answers and, should circumstances change in the future, I will contact the Missouri S&T Conflict of Interest Committee to appropriately update this disclosure statement.*

Signature: ___________________________  Date: ____________

Employee

*I approve this disclosure filing for submission to the Missouri S&T Conflict of Interest Committee.*

Signature: ___________________________  Date: _________

Department Chair/Director

Signature: ___________________________  Date: ____________

Vice Provost for Academic Affairs/Division Head