

September 4, 2018

Ms. Cuba Plain, Interim Vice Chancellor for Finance and Operations 204 Parker Hall

Dr. Costas Tsatsoulis, Vice Chancellor for Research and Dean of Graduate Studies 202 Centennial Hall

Dr. Robert J. Marley, Provost and Execute Vice Chancellor for Academic Affairs 210 Parker Hall

Mr. Anas Massri, Assistant Vice Chancellor, Fiscal Services G-3 Parker Hall

Mr. Keith D. Strassner, Director, Technology Transfer and Economic Development 145 Technology Development Center

Dear Cuba, Costas, Robert, Anas, and Keith:

In accordance with the authority granted to me by President Mun Choi in his letter dated May 15, 2017, regarding re-delegation of authority to execute, on behalf of The Curators of the University of Missouri, various instruments and documents ("Board Instruments") that involve intellectual property and research, I hereby re-delegate such authority at Missouri S&T as shown below. The individual noted first will have primary responsibility and others, if any, listed will serve as alternate signatories to provide an expeditious handling of the Board Instruments. For clarity, I have also noted those Board Instruments for which authority is not re-delegated.

CATEGORY #1: DOCUMENTS REQUIRING LEGAL APPROVAL PRIOR TO EXECUTION

- 1) Non-disclosure agreements, confidentiality agreements and proprietary information agreements (other than in University Standard Form Contracts listed in Items A, B, and C below): <u>Keith</u> Strassner, Costas Tsatsoulis and Robert Marley
- 2) License agreements or option agreements involving University-owned inventions or plant varieties, regardless of whether patented or unpatented: **Costas Tsatsoulis**
- 3) License agreements or option agreements involving University-owned trademarks, service marks, trade dress, or other similar rights: **Costas Tsatsoulis and Robert Marley**
- 4) License agreements or option agreements involving University-owned copyrightable works (including software and mask works): **Costas Tsatsoulis and Robert Marley**

- 5) Official correspondence and agreements with the United States Patent and Trademark Office and foreign patent offices relating to patent applications and patents (including powers of attorney, disclaimers, and micro entity certifications): **Costas Tsatsoulis**
- 6) Official correspondence and agreements with the United States Patent and Trademark Office relating to trademark applications or registrations: **Costas Tsatsoulis and Robert Marley**
- 7) Official correspondence and agreements with the United States Copyright Office relating to copyright applications or registrations for copyrightable works (including software or mask works): Costas Tsatsoulis and Robert Marley
- 8) Government licenses, confirmation forms and other forms related to intellectual property required by federal agencies: **Keith Strassner**, **Costas Tsatsoulis and Robert Marley**
- 9) Material transfer agreements (other than listed in University Standard Form Contract listed in Item D below): Keith Strassner, Costas Tsatsoulis and Robert Marley
- 10) Inter-institutional agreements related to intellectual property jointly owned by the parties thereto: <u>Costas Tsatsoulis</u>
- 11) Allocation of intellectual property rights agreements related to SBIR and/or STTR agreements: Costas Tsatsoulis and Robert Marley
- 12) Assignment of intellectual property from University employees, students or third parties to the University, including but not limited to (a) assignment of patent rights by inventor(s) to the University (other than listed in Standard Form Contracts Item E below) and (b) assignment of copyrights by authors/creators to the University: **Costas Tsatsoulis and Robert Marley**
- 13) MOUs, authors' agreements for copyrighted works (including software and mask works), or other agreements involving author royalty/revenue sharing: **Costas Tsatsoulis and Robert Marley**
- 14) Visiting scientist, visiting scholar, or visiting fellow agreements: <u>Costas Tsatsoulis and Robert Marley</u>
- 15) Joint faculty appointment agreements: Robert Marley
- 16) Affiliation agreements, consortium agreements, collaboration agreements, and cooperative agreements: **Costas Tsatsoulis and Robert Marley**
- 17) Research proposals, grant award agreements, sponsored research agreements (including both government-sponsored and industry-sponsored):
 - a) Involving an assignment of University-owned intellectual property: Christopher G. Maples
 - b) Involving a commercial license of University-owned intellectual property: <u>Costas Tsatsoulis</u> and Robert Marley
 - c) Not involving an assignment or commercial license of University-owned intellectual property: Costas Tsatsoulis and Robert Marley

- 18) Consulting agreements or personal services agreements relating to services or work performed by third parties involving research or intellectual property analysis and/or commercialization: Anas. Massri and Cuba Plain
- 19) Fee-for-service agreements for research-related projects the University performs for third parties:
 - a) Involving an assignment of University-owned intellectual property: Christopher G. Maples
 - b) Involving a commercial license of University-owned intellectual property: <u>Costas Tsatsoulis</u> and <u>Robert Marley</u>
 - c) Not involving an assignment or commercial license of University-owned intellectual property: Costas Tsatsoulis and Robert Marley
- 20) Assurances and certifications relating to Items 16 and 17: Costas Tsatsoulis and Robert Marley
- 21) Sponsored academic course agreements involving research or intellectual property involving nonemployee students:
 - a) Involving an assignment of University-owned intellectual property: **Christopher G. Maples**
 - b) Involving a commercial license of University-owned intellectual property: **Costas Tsatsoulis** and **Robert Marley**
 - c) Not involving an assignment or commercial license of University-owned intellectual property: Costas Tsatsoulis and Robert Marley

CATEGORY #2: DOCUMENTS WHICH DO NOT REQUIRE LEGAL APPROVAL PRIOR TO EXECUTION IF NO MODIFICATIONS ARE MADE

- A) University Standard Form Contract-Mutual Non-Disclosure Agreement: <u>Keith Strassner, Costas</u> <u>Tsatsoulis and Robert Marley</u>
- B) University Standard Form Contract-One-Way Non-Disclosure Agreement (University Receives Confidential Information): Keith Strassner, Costas Tsatsoulis and Robert Marley
- C) University Standard Form Contract-One-Way Non-Disclosure Agreement (University Discloses Confidential Information): **Keith Strassner**, **Costas Tsatsoulis and Robert Marley**
- D) University Standard Form Contract-University of Missouri Agreement for Transfer of Biological Material: Keith Strassner, Costas Tsatsoulis and Robert Marley
- E) University Standard Form Contract-Assignment of Patent Rights by Inventor(s) to University: <u>Keith</u> Strassner, Costas Tsatsoulis and Robert Marley

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Except for the execution of unmodified University Standard Form Contracts above-described in Items A) through E), which have already been approved as to legal form, the aforementioned delegation of authority does not eliminate the necessity of having the Board Instruments above-described in Items 1) through 21) approved as to legal form by the Office of the General Counsel prior to execution.

This letter supersedes any prior delegation of authority involving intellectual property and research, effective immediately. Further, the delegation of authority will be in effect as long as you continue to serve in your current position, unless sooner revoked or modified by me or my successor in writing, and the authority delegated to you herein may not be re-delegated by you.

Sincerely,

Christopher G. Maples, Ph.D.

Interim Chancellor

CGM:chg

cc: President Mun Choi, Ph.D.

Ryan Rapp, Vice President

Stephen J. Owens, General Counsel

Cindy Harmon, Secretary of the Board of Curators

Velvet Hasner (S&T web link)